|  |  |
| --- | --- |
| **Code** | **NPM11910** |
| **Company name** | Nui Phao Mining Company Limited |
| **Date** | 02/02/2021 |
| **Subject** | Periodic report on bond interest & principal payment |

|  |
| --- |
| **Content:** |

Nui Phao Mining Joint Stock Company reports the payment of bond interest and principal as follows:

1. Report on the payment of bond interest and principal:

*(Period: from 01/01/2020 to 31/12/2020)*

*Unit: VND billion*

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **No.** | **Securities code** | **Term** | **Issue date** | **Maturity date** | **Beginning balance** | | **Payment in the period** | | | **Ending balance** | |
|  |
| **Principal** | **Interest** | **Principal** | | **Interest** | **Principal** | **Interest** |
| l | NPM11907 | 3 years | 10/31/2019 | 10/31/2022 | 210,00 | 3.57 | - | | 21.06 | 210,00 | 3.17 |

1. Report on investors:

*(Period: from 04/16/2020 to 10/19/2020) \*\**

*Unit: VND billion*

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Investor** | **Beginning balance** | | **Change in the period** | | **Ending balance** | |
| **Value** | **Proportion** | **Value** | **Proportion** | **Value** | **Proportion** |
| 1. Domestic investor |  |  |  |  |  |  |
| 1. Institutional investor |  |  |  |  |  |  |
| * Credit institution *\** | - | - | - | - | - | - |
| * Investment fund | - | - | 5.21 | 2.48% | 5.21 | 2.48% |
| * Securities company | 2.19 | 1.04% | (1.09) | -0.52% | 1.10 | 0.53% |
| * Insurance company | - | - | - | - | - | - |
| * Others | - | - | 0.59 | 0.28% | 0.59 | 0.28% |
| 1. Individual investor | 207.81 | 98.96% | (4.71) | -2.24% | 203.10 | 96.72% |
| 1. Foreign investor |  |  |  |  |  |  |
| 1. Institutional investor |  |  |  |  |  |  |
| * Credit institution | - | - | - | - | - | - |
| * Investment fund | - | - | - | - | - | - |
| * Securities company | - | - | - | - | - | - |
| * Insurance company | - | - | - | - | - | - |
| * Others | - | - | - | - | - | - |
| 1. Individual investor | - | - | - | - | - | - |
| **Total** | **210.00** | **100.00%** | **-** | **-** | **210.00** | **100.00%** |

*\*Credit institution: commercial bank, financial company, financial leasing company, microfinance institution, people's credit fund.*

*\*\*04/16/2020 and 10/19/2020 are the record date of the bondholders to implement the bond interest payment at the Vietnam Securities Depository (VSD).*